

Concordance Lecture 2

September 9, 2021 1:35 PM

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Recall A knot in S^3 is trivial iff it bounds an emb. disk in S^3

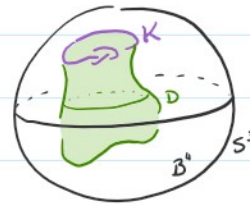
HW Every knot in S^3 bounds an emb. disk in S^4



adds 1 dimension, but what about $\frac{1}{2}$ a dimension?



Defn A knot $K \subseteq S^3$ is *topologically slice* if there exists a *topologically locally flat*¹ *properly-embedded*² disk $D \subseteq B^4$ with $K = \partial D$. We call D a *slice disk* for K .



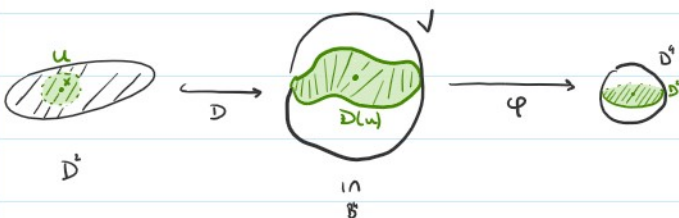
★ Generalization of "trivial knots"
i.e. some nontrivial knots are slice

⊙ Are all knots slice? (No)

↳ need obstructions/invariants

⊙ Need locally flat (see HW)

An embedding $D: \mathbb{D}^2 \hookrightarrow B^4$ is *locally-flat*^{*} if $\forall x \in \mathbb{D}^2$ \exists nbhd $\begin{cases} U \subset \mathbb{D}^2 \text{ of } x \\ V \subset B^4 \text{ of } D(x) \end{cases}$ such that $(V, D(U)) \cong (\mathbb{D}^2, \mathbb{D}^2)$

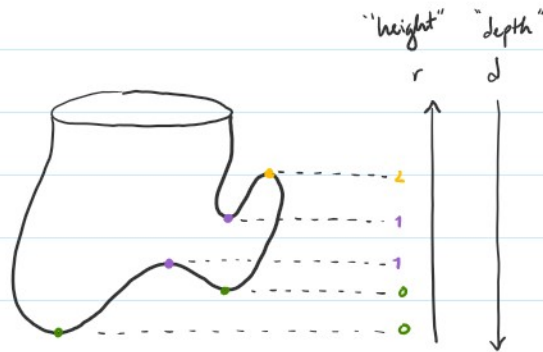
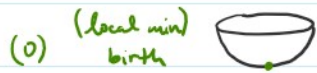


* different if $x \in \partial \mathbb{D}^2$

Movies visualizing slice disks $D \subset B^4$ by their level sets

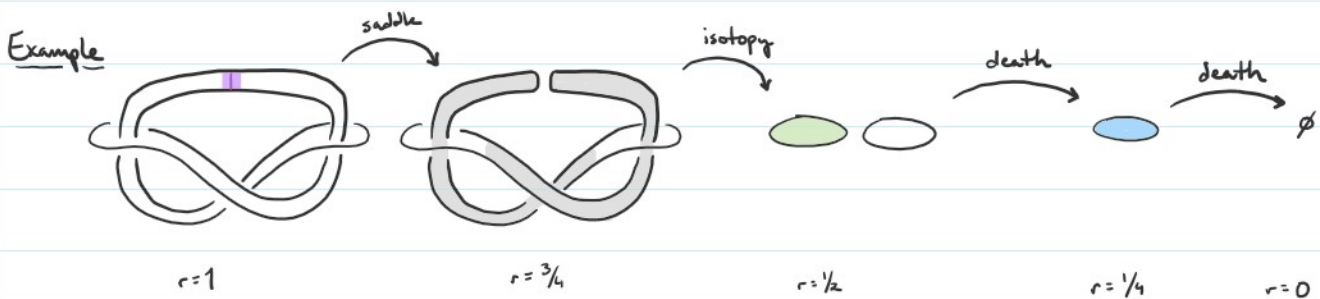
$$\left. \begin{array}{l} \text{view } B^4 \text{ as } S^3 \times [0,1] / S^3 \times \{0\} \\ r: (x,t) \mapsto t \text{ gives radius} \end{array} \right\} L_t := D \cap (S^3 \times \{t\})$$

Fact Every slice disk is isotopic (rel ∂) to a disk where r is a Morse function with finitely many isolated critical points, corresponding to:




- level sets are generically links, except at levels with a critical pt, where they are links of a singularity
- Direction matters: height vs depth have opposite indexed crit. pts.
- Still just a schematic! Level sets are links, not planar S^1 's

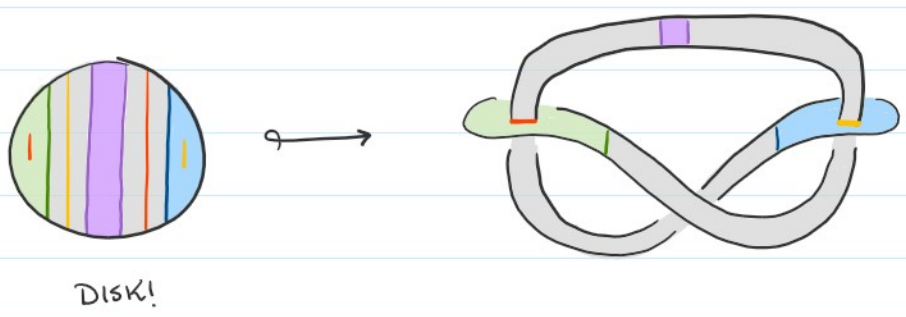
Defn A movie is a finite sequence of level sets L_1, \dots, L_n where L_i and L_{i+1} are related by a birth, death, or saddle.



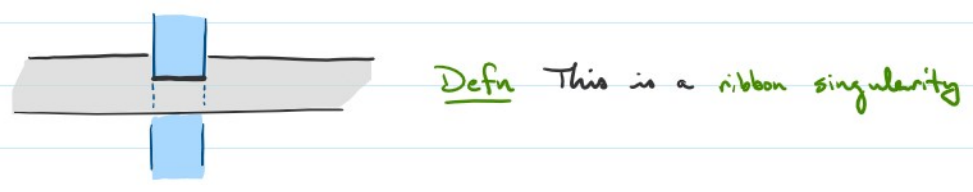
Why is this a disk?

(1) Another schematic:  \approx D^2

(2) Can push D into a single level set (ie $\partial B^4 = S^3$) to obtain an immersion:



In this case, all singularities look like:



Defn A knot is ribbon if it bounds a disk in S^3 with only ribbon singularities.

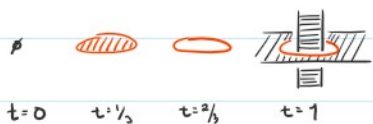
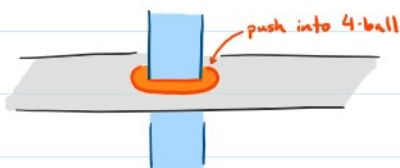
☺ Can make many ribbon knots:

- 1. Begin w/ unlink
 - 2. Attach bands between consecutive components
- (avoid clasp intersections)



Exercise

Ribbon \Rightarrow Slice



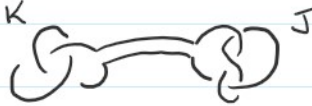
^{open}

Conjecture (Fox '1960s) Slice \Rightarrow Ribbon

Exercise A knot is ribbon iff it bounds a smoothly emb. disk in B^4 with only local minima and saddles (wrt radius)

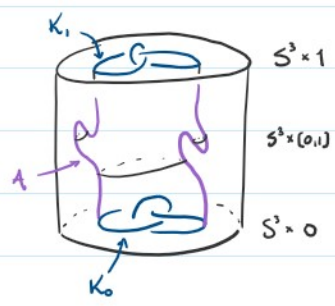
WARNING \exists slice disks that are not isotopic to a ribbon disk

WARNING Category matters (\exists top slice knots that are not sur. slice)

Recall connected sum $K \# J$  $(\{\text{knots}\}, \#)$ is a monoid

Knots mod slice knots forms a group

Defn Knots K_0 and K_1 are concordant, $K_0 \sim K_1$, if \exists top loc-flat annulus $A: S^1 \times [0,1] \hookrightarrow S^3 \times [0,1]$ with $\partial A = (K_0 \times 0) \cup (K_1 \times 1)$



Similarly define movies

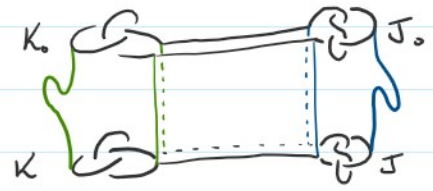
Exercise Concordance is an equiv. reln

Defn For a knot K , we call $[K]$ the concordance class of K . and denote the set of all concordance classes by \mathcal{L}

$$\mathcal{L}^{\text{top}} \cong \mathcal{L}^{\text{diff}}$$

Prop Connected sum descends to a well-defined operation on \mathcal{L}
 $[K] + [J] := [K \# J]$ on \mathcal{L} .

Proof $K_0 \sim K$ and $J_0 \sim J$



(6)

Theorem $(\mathcal{C}, \#)$ is a group with identity $[\text{unknot}]$ and
inverses $-[K] = [-K]$.

$X \rightarrow X$ $\rightarrow \rightarrow \leftarrow$
see HW: mirror and reverse orientation

Defn The pair $(\mathcal{C}, \#)$ is the concordance group.

Thm (HW) $K \sim J$ iff $K \# -J$ is slice.

Cor $K \sim \text{unknot}$ iff K is slice
 $[K] = 0$

"Knots mod concordance forms a group"
"Knots mod slice knots forms a group"

⚠ We want to construct concordance invariants to
study slice knots and concordance